

# Republic of Turkey Ministry of Environment and Urbanization Seismic Resilience and Energy Efficiency in Public Building

# Negotiated ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

May 2021, revised December 2021

#### **ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

- The Republic of Turkey (hereafter the Borrower) shall implement the Seismic Resilience and Energy Efficiency in Public Building Project (hereafter the Project), through the General Directorate of Construction Affairs of the Ministry of Environment and Urbanization (MoEU) ("Project Implementing Unit" or "PIU"). The International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project.
- The Borrower, through MoEU, shall implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
- 3. The Borrower, through MoEU, shall also comply with the provisions of any other Environmental and Social (E&S) documents required under the Environmental and Social Framework (ESF) and referred to in this ESCP, such as Environmental and Social Management Framework (ESMF) and Environmental and Social Management Plans (ESMPs), Labor Management Procedures (LMPs) and Stakeholder Engagement Framework (SEF), and the timelines specified in those E&S documents.
- 4. The Borrower, through MoEU, is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
- 5. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Bank by the Borrower, through MoEU, as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
- 6. As agreed by the Bank and the Borrower, through MoEU, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Borrower, through MoEU, shall agree to the changes with the Bank and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Borrower, through MoEU. The Borrower, through MoEU, shall promptly disclose the updated ESCP.
- 7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Borrower, through MoEU, shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include adverse social impacts due to safe access to public services among vulnerable groups and communities and other environmental, health, and safety impacts, labor risks.

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
MONIT	TORING AND REPORTING		
	REGULAR REPORTING		
А	Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, implementation of the ESCP, status of preparation and implementation of environmental and social documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).	First report shall be submitted to the Bank 30 days after the Loan Effective Date and thereafter biannually throughout Project implementation.	PIU
	Ensure frequency and content of monitoring reports is detailed in the Project Operations Manual (POM) and implemented accordingly.		
	INCIDENTS AND ACCIDENTS		
	Promptly notify the Bank within 48 hours of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including health and safety serious injuries and road accidents.	Notify the Bank within 48 hours of learning of the incident or accident.	PIU
	Subsequently, as per the Bank's request, submit a report on the incident or accident and provide sufficient detail regarding the incident or accident, findings of the Root Cause Analysis (RCA), indicating immediate measures taken or that are planned to be taken to address it, compensation paid, and any information	A report shall be provided within 30 business days of the incident or accident, acceptable to the Bank, as requested.	
В	provided by any contractor and supervising entity/consultant, as appropriate. Ensure the incident report is in line with the Bank's Environment and Social Incidence Response Toolkit (ESIRT).	On-going throughout project implementation.	
	Include in bidding documents of contractors that prompt notification of accident and incidents remain inclusive under contractor's Environmental and Social Management Plan (ESMP). Ensure and monitor that an incident register at all construction sites is kept by the contractors throughout the Project implementation.	Any incident or accident shall be notified to the PIU within 48 hours of the incident	
	PIU shall obligate the contractors to submit to PIU an incident report, including root cause analysis, precautions and compensation measures taken within 30 business days of the incident. PIU shall forward the incident report to the Bank immediately upon receipt from the contractor.	or accident. A report shall be provided within 30 business days of the incident or accident.	
	CONTRACTORS MONTHLY REPORTS	First report shall be submitted 30 days	Bull
С	Require all CCs to provide monthly monitoring reports on Environmental and Social Management Plan (ESMP), Labor Management Procedure (LMP) and	after the construction contractors start activities and thereafter monthly	PIU

	Stakeholder Engagement Plan (SEP) implementation to Supervision Companies and the Project Implementation Unit (PIU). Such reports should be submitted to the Bank by PIU upon request.	throughout Project implementation.	
ESS 1	: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISK	S AND IMPACTS	
1.1	ORGANIZATIONAL STRUCTURE  Establish an organizational structure with qualified staff and individual consultants and consultancy services to support management of environmental and social (E&S) risks and maintain as necessary throughout project implementation  Improve the capacity of the Project Implementation Unit (PIU) with qualified staff and resources to support the management of ESHS risks and impacts of the Project, including a minimum of one environmental expert, one social expert, and one occupational health and safety expert.  Obligate contractors to assign environmental, social and Occupational Health and Safety (OHS) experts by including this requirement in bidding documents.	The PIU's environmental consultant, social consultant, and occupational health and safety expert shall be hired no later than 30 days after the Loan Effective Date.  PIU shall ensure to work with qualified staff and resources throughout Project implementation.  Contractor's environmental, social and OHS experts shall be retained throughout the sub-project construction period.	PIU
MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
	ENVIRONMENTAL AND SOCIAL ASSESSMENT  Disclose and adopt the Environmental and Social Management Framework (ESMF) in a manner acceptable to the Bank.  Prepare, disclose, adopt, and implement site-specific ESMPs, and other	The final version of ESMF furnished to the Bank, and deemed acceptable by the Bank, shall be disclosed no later than 15 days after the completion date of negotiations, and shall be implemented	

		Prior to commencement of works on project sites and thereafter shall be implemented throughout the respective sub-project.	
1.3	MANAGEMENT OF CONTRACTORS  Incorporate the requirements of the Bank approved ESMF, SEF, LMP and any other relevant instruments into the tendering documents and contracts with supervising companies. Incorporate the requirements of the Bank approved ESMPs and SEPs, and any other relevant instruments into the tendering documents and contracts with Contractors Thereafter ensure that the contractors and supervising companies comply with the Environmental, Social, Health and Safety (ESHS) specifications of their respective contracts.	Before launching the procurement process for the relevant activities and thereafter ensure that contractors and supervising companies comply with the ESHS specifications in their contracts through their involvement in the respective Project activities.	PIU
	TECHNICAL ASSISTANCE  Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities provided under the Project are carried out in accordance with terms of reference (ToR) acceptable to the Bank, that incorporate the relevant requirements of the ESSs.  Ensure that any outputs from the technical assistance activities, [including, inter alia, the preparation activities provided under the Project] are consistent with the relevant ESSs.	ToR for preparation activities to be submitted to Bank's review prior to finalization for tendering.  Throughout the Project implementation	PIU
ESS 2	: LABOR AND WORKING CONDITIONS		
MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
2.1	LABOR MANAGEMENT PROCEDURES  Update, adopt, and implement Labor Management Procedures (LMP) and ensure that Project workers are informed of and have access to these procedures. Update LMP, as needed.  Obligate the Contractors to adopt and implement contractors' labor management procedures, including OHS measures and Codes of Conduct (CoC) as detailed in the LMP and ESMPs.	Final Project LMP shall be prepared, disclosed on before Project negotiations.  Sub-project specific Labor Management Plans shall be prepared by the Contractors [under the supervision of Supervision Company and the PIU] after contract signing and applied throughout Project implementation.	PIU

2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS  Develop, maintain, and operate a grievance mechanism (GM) for Project workers as described in the LMP and consistent with national labor legislation and ESS2 requirements.  Ensure contractor(s) incorporate a GM for their employees in sub-project Labor Management Plans (LM Plans).  Monitor implementation of the Project workers' GM, maintain a GM log, and report on Project workers' grievances.	Grievance mechanism operational prior to engaging Project workers and maintained throughout Project implementation.  Report on Project workers' GM implementation activities in regular reports as required in Section A of this ESCP.	PIU	
2.3	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES  As part of the ESMPs, Occupational Health and Safety Plans (OHSP) shall be developed and implemented in accordance with national regulation and the requirements of ESS2.  Ensure all Contractors adopt and implement OHS measures as detailed in LMPs and ESMPs.	Prior to tendering works.  Contractor's OHS plans shall be submitted to the PIU for approval before the commencement of construction works.  Throughout project implementation.	PIU	
ESS 3	ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT  MATERIAL MEASURES AND ACTIONS  TIMEFRAME  RESPONSIBILE ENTITY/AUTHORITY			
			RESPONSIBILE ENTITY/AUTHORITY	

ESS 4: COMMUNITY HEALTH AND SAFETY			
MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
4.1	TRAFFIC AND ROAD SAFETY  Develop and implement measures and actions to assess and manage traffic and road safety risks in the site specific ESMPs.  Prepare, adopt and implement specific Traffic Management Plans as needed.	Before the start of the tendering process for works. ESMPs shall be implemented throughout the project implementation.  Before the start of the tendering process for works.	PIU
	r repare, adopt and implement specific Trailic Management Flans as needed.	Management plans shall be implemented throughout the project implementation.	
4.2	COMMUNITY HEALTH AND SAFETY  Adopt and implement measures and actions to assess and manage specific risks and impacts to the community arising from Project activities and ensure these measures are included sub-project specific ESMPs.	Management plans shall be implemented throughout the project implementation.	PIU
	Prepare, adopt and implement specific Community Health and Safety Plans, as needed. Obligate the Contractors to adopt the Code of Conduct included in the LMP and provide training to all workers to manage Sexual Exploitation and Abuse/Sexual Harassment risks in the sub-projects.	and shall be implemented throughout the	

# ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT

Not Relevant to the Project.

#### ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES

Not relevant to the Project.

# ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES

Not relevant to the Project.

### **ESS 8: CULTURAL HERITAGE**

MATI	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
8.1	CHANCE FINDS  Adopt and implement the chance finds procedure described in the sub-project specific ESMPs developed for the Project.	ESMPs (including Chance Find Procedure) shall be prepared before the start of the tendering process for works and shall be implemented throughout the Project implementation.	PIU

# **ESS 9: FINANCIAL INTERMEDIARIES**

Not relevant to the Project.

# ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
10.1	STAKEHOLDER ENGAGEMENT FRAMEWORK PREPERATION AND IMPLEMENTATION  Prepare, disclose, adopt, and implement a Stakeholder Engagement Framework (SEF) consistent with ESS10, in a manner acceptable to the Bank	SEF (including GM) shall be prepared, disclosed, and consulted before Project negotiations. The SEF shall be implemented throughout the Project implementation period.	PIU
10.2.	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION  Prepare, disclose, adopt, and implement a Stakeholder Engagement Plans (SEP) consistent with ESS10 and the SEF, in a manner acceptable to the Bank.	SEPs (including GM) shall be prepared before the start of the tendering process for works and shall be implemented throughout the project implementation.	PIU
10.3	PROJECT GRIEVANCE MECHANISM  Accessible grievance mechanism (GM) shall be established and made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10 and the SEF, in a manner acceptable to the Bank.	A GM shall be established and operationalized no later than the Loan Effective Date and shall be maintained throughout Project implementation period.	PIU

CAPACITY SUPPORT (TRAINING)				
	TRAINING TO BE PROVIDED TO PIU STAFF			
CS1	Provide PIU staff with training as a part of PIU capacity building on aspects of ESF requirements and relevant instruments including:  OHS, environmental and social assessments	Initial training shall be provided within three months after the Loan Effective Date.  Periodic trainings shall be provided at least once a year, and as needed, during project	PIU	
	Risks screening and ESMP preparation			
	<ul> <li>Specific aspects of environmental and social risk management implementation and monitoring including waste management, OHS management</li> </ul>	implementation.		

	<ul> <li>Stakeholder engagement and grievance redress mechanism (GRM)</li> <li>Codes of conduct</li> <li>Monitoring and reporting</li> <li>Other relevant topics</li> </ul>		
CS2	TRAINING FOR SUPERVISING COMPANIES AND OTHER RELEVANT STAFF  Provide in-depth training to Supervising Companies' environmental expert, social expert, and Health and Safety Expert as well as to all other staff responsible for ensuring full compliance with the ESF, including those in provincial offices, and relevant instruments on:  The World Bank Environmental and Social Framework  Project specific instruments namely, ESMF, LMP, SEF, SEP  OHS, environmental and social assessments  ESMP preparation  Community health and safety  Stakeholder engagement and grievance redress  Codes of conduct  Monitoring and reporting, and  SEA/SH and GBV and Covid-19 prevention measures  Other relevant topics.	Initial training within three months after the Loan Effective Date.  Refresher trainings shall be given by Supervising Companies at least once a year, and as needed, during project implementation.	PIU
CS3	TRAINING FOR CONTRACTORS' STAFF  Provide training on implementation of environmental and social due diligence documents (e.g., OHS, environmental and social assessments, community health and safety, stakeholder engagement, grievance redress, codes of conduct, etc.) to all staff working with contractors and sub-contractors that are responsible for environment, and social issues.	Prior to commencing works  Trainings shall be given by Supervising Companies at least once a year or as needed, during project implementation.	PIU